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Bib Data Sheet

**UNITED STATES DEPARTMENT OF  
COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/540,613	<b>FILING DATE</b> 03/31/2000 <b>RULE</b> -	<b>CLASS</b> 712	<b>GROUP ART UNIT</b> 2782	<b>ATTORNEY DOCKET NO.</b> 042390.P8628
<b>APPLICANTS</b> Carl M. Ellison, Portland, OR ; Roger A. Golliver, Beaverton, OR ; Howard C. Herbert, Phoenix, AZ ; Derrick C. Lin, Foster City, CA ; Francis X. McKeen, Portland, OR ; Gil Neiger, Portland, OR ; Ken Reneris, Wilbraham, MA ; James A. Sutton, Portland, OR ; Shreekant S. Thakkar, Portland, OR ; Millind Mittal, Palo Alto, CA ;				
<b>** CONTINUING DATA *****</b> N/A <b>** FOREIGN APPLICATIONS *****</b> N/A				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/03/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged <u>ECT</u> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> OR	<b>SHEETS DRAWING</b> 9	<b>TOTAL CLAIMS</b> 60
			<b>INDEPENDENT CLAIMS</b> 4	
<b>ADDRESS</b> Thinh V Nguyen Biakely Sokoloff Taylor & Zafman LLP 12400 Wilshire Boulevard 7th Floor Los Angeles, CA 90025				
<b>TITLE</b> Managing a secure environment using a chipset in isolated execution mode				
<b>FILING FEE RECEIVED</b> - 0	<b>FEES: Authority has been given in Paper</b> No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



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Receipt  
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JUL 12 2000  
TC 2700 MAIL ROOM

Our Docket No.: 042390.P8628

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  CARL M. ELLISON ET AL.  Application No.: 09/540,613  Filed: March 31, 2000  For: <b>MANAGING A SECURE ENVIRONMENT USING A CHIPSET IN ISOLATED EXECUTION MODE</b>	Examiner: Not Assigned  Art Group: 2782
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**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We have received the official Filing Receipt for the above-identified U.S. Patent Application (copy enclosed). Upon our review, we have discovered that the title of the application is incorrect. The receipt indicates the application's title as:

**"MANAGING A SECURE ENVIRONMENT USING A CHIPSEY IN ISOLATED  
EXECUTION MODE"**

The Application's title should be corrected to read as follows:

**"MANAGING A SECURE ENVIRONMENT USING A CHIPSET IN ISOLATED  
EXECUTION MODE"**

Please correct your records to reflect the correct title, and send us a corrected filing receipt.

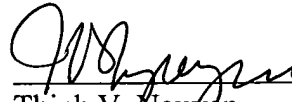
If there are any additional charges, please charge them to Account No. 02-2666. A duplicate copy of our Fee Transmittal is enclosed. Please direct all communications regarding this matter to the undersigned.

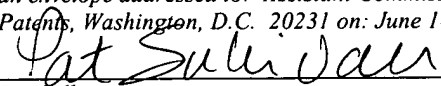
RECEIVED  
JUL 12 2000  
TC 2700 MAIL ROOM

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: June 14, 2000\_

  
Thinh V. Nguyen  
Reg. No. 42,034

	<u>CERTIFICATE OF MAILING</u>
12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (714) 557-3800	<i>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: June 14, 2000.</i>  Pat Sullivan 6/14/00 Date

**FILING RECEIPT**

\*OC000000005156707\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/540,613	03/31/2000	2782	0	042390.P8628	9	60	4

Thinh V Nguyen  
Blakely Sokoloff Taylor & Zafman LLP  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025

**RECEIVED**  
JUN 07 2000

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 06/05/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Carl M. Ellison, Portland, OR ;  
Roger A. Golliver, Beaverton, OR ;  
Howard C. Herbert, Phoenix, AZ ;  
Derrick C. Lin, Foster City, CA ;  
Francis X. McKeen, Portland, OR ;  
Gil Neiger, Portland, OR ;  
Ken Reneris, Wilbraham, MA ;  
James A. Sutton, Portland, OR ;  
Shreekant S. Thakkar, Portland, OR ;  
Millind Mittal, Palo Alto, CA ;

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JUN 08 2000

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Jc

**ENTERED**  
6/8/2000

**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 06/03/2000

**ENTERED**

JUN 07 2000

STATUS DB-LA

**Title**

Managing a secure environment using a chipsey in isolated execution mode

**Preliminary Class**



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/540,613
		Filing Date	March 31, 2000
		First Named Inventor	Carl M. Ellison
		Group Art Unit	Not Assigned
		Examiner Name	Not Assigned
Total Number of Pages in This Submission	6	Attorney Docket Number	042390.P8628

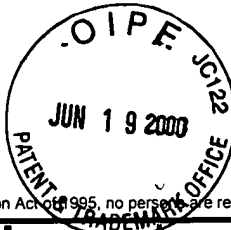
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> To Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Request for Correction of Filing Receipt; copy of Filing Receipt</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thinh V. Nguyen, Reg. No. 42,034 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	June 14, 2000

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: <div style="text-align: right;">June 14, 2000</div>			
Typed or printed name	Pat Sullivan	Date	June 14, 2000
Signature		Date	June 14, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box



PTO/SB/17 (12/99)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)

### Complete if Known

Application No.	09/540,613
Filing Date	March 31, 2000
First Named Inventor	Carl M. Ellison
Examiner Name	Not Assigned
Group/Art Unit	Not Assigned
Attorney Docket Number	042390.P8628

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:  
☒ The Commissioner is hereby authorized to credit any over payments to:

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fees Required Under 37  
CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims			
Multiple Dependent			

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple Dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,210	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

### SUBMITTED BY

Typed or Printed Name **Thinh V. Nguyen**

Signature

Date

06/14/00

### Complete (if applicable)

Reg. Number **42,034**

Deposit Account User ID

02-2666

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